Exploring Mediation Techniques For Resolving Private Healthcare Issues;: An Overview

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Abstract

Conflicts are common everywhere, especially in Healthcare. In the complex landscape of healthcare, problems are ubiquitous, manifesting in various forms, including medical conflicts that often have deeply personal and private dimensions. Private medical issues often present complex challenges in healthcare settings, encompassing disputes between healthcare providers, patients, and families, as well as delicate decisions regarding end-of-life care and treatment plans. Traditional approaches to resolving these issues may fall short in addressing the nuanced interpersonal dynamics and confidentiality concerns inherent in private medical matters. Mediation is one of the techniques in Alternative Dispute Resolution system, it has to be understood not in the sense that it is alternative court but in the sense that it alternative to procedure of court which provides for easy resolution to a legal issue without any much of the lengthy procedure and technicalities. This paper explores the potential of mediation techniques as a viable solution for mitigating private medical issues. Drawing upon existing literature and case studies, this research provides an overview of mediation techniques, their applicability to various types of private medical issues, and the benefits they offer in fostering effective communication, preserving relationships, and facilitating patient-centered decision-making. Ethical considerations, challenges, and recommendations for future research and practice are also discussed. By shedding light on the role of mediation in healthcare, this paper aims to inform healthcare professionals, policymakers, and stakeholders about the potential of mediation as a valuable tool for addressing private medical issues and improving patient care outcomes.

Key Words: Mediation techniques, Private medical issues, Healthcare conflicts

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Introduction

With a population ranking only to China, India faces a multitude of challenges across various domains, including its healthcare system. In a country of such vast diversity and complexity, conflicts inevitably arise, permeating every facet of society. Despite strides towards modernization, India's legal framework remains rooted in traditional court systems, where the judiciary is inundated with an ever-growing caseload. This burden often results in prolonged resolution times, exacerbating tensions and hindering the swift resolution of disputes. Within the expansive landscape of India's healthcare system, conflicts are not only common but also inevitable. Whether they stem from disagreements between healthcare providers and patients or complex decisions regarding treatment plans and end-of-life care, private medical issues abound. Addressing these conflicts within the confines of traditional legal avenues proves to be a daunting task, given the sheer volume of cases and the limitations of the existing judicial infrastructure.

In light of these challenges, there arises a pressing need to explore alternative methods for resolving healthcare conflicts. One such approach that holds promise is the integration of mediation techniques into the healthcare system. By providing a structured and collaborative process for dispute resolution, mediation offers a viable alternative to traditional legal proceedings. Moreover, its emphasis on open communication, mutual understanding, and preservation of relationships aligns well with the ethos of patient-centered care.

By shedding light on the role of mediation in healthcare settings, this research endeavors to contribute to the enhancement of patient care outcomes and the alleviation of burdens on India's judicial system. Ultimately, by embracing mediation as a tool for resolving private medical issues, India can strive towards a more efficient, equitable, and patient-centric healthcare environment.

Types of Private Medical Issues

Private medical issues refer to conflicts, disputes, or sensitive decisions that arise within the context of healthcare but involve matters of a personal, confidential, or intimate nature. These issues typically involve individuals or entities within the healthcare system, such as patients, healthcare providers, family members, or caregivers, and may encompass following issues:

1. Medical Negligence
2. Medical malpractice
3. Doctor-Patient conflicts
4. Consent Issues
5. Confidentiality breaches
6. End of life care decisions etc.,
Private medical issues often involve sensitive personal information, complex interpersonal dynamics, and ethical considerations, making them challenging to resolve using traditional methods. As such, alternative approaches, such as mediation techniques, may be employed to facilitate communication, collaboration, and mutually acceptable resolutions.

1. Medical Negligence

The failure of a healthcare provider to provide the standard of care that a reasonably competent professional would have under similar circumstances, resulting in harm to a patient. It occurs when a healthcare provider deviates from accepted medical standards, leading to injury, illness, or death of the patient. This might occur in various forms including, Misdiagnosis or delayed diagnosis, medical error, Surgical error, Negligent parental care or child birth etc.,

If a healthcare provider or health care center fails to provide standard healthcare then he is tortuously liable. Supreme court held in Poonam Verma V. Ashwin Patel and others,\(^3\) that a practitioner who practices in a system of medicine without possessing the necessary knowledge is considered a quack. In cases of negligence per se, no additional proof is required to establish guilt. the principle of negligence which Lord Atkin established in the case of Donoghue v. Stevenson.\(^4\) In the context of healthcare, medical negligence occurs when a healthcare professional, typically a doctor, fails to meet the duty of care owed to a patient. This duty of care refers to the responsibility of the healthcare professional to provide a certain standard of care to their patients based on their medical training and expertise. If the healthcare professional breaches this duty of care and the patient suffers harm as a result, the healthcare professional may be held liable for medical negligence.

In the Case Kunal Saha Versus AMRI,\(^5\) in 1998, a US-based child psychologist named Anuradha Saha went on a vacation to Kolkata. During her trip, she fell ill and visited Dr. Sukumar Mukherjee, who prescribed rest. When her condition worsened, she received faulty treatment and was admitted to a hospital. She ultimately died due to medical negligence. Her husband, Kunal Saha, fought for justice for 15 years and was eventually awarded compensation. This case is a prime example of the vulnerability of doctors and the importance of proper medical care.

2. Medical Malpractice

"Malpractice" is a legal term that signifies the failure of a medical or healthcare professional to adhere to the established standards of their profession, resulting in harm or injury to a patient. This term is commonly used in the context of professional negligence and is recognized as a significant legal issue in the field of healthcare. It’s important to note that malpractice doesn't imply intent to harm, but rather an awareness of the potential for harm and proceeding with action regardless. If a

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\(^3\) (1996) 4 SCC 322
\(^4\) (1932) AC 562
\(^5\) (2014) SCC 384
practitioner knowingly puts a patient at risk but proceeds with an act or omission, they may be found guilty of malpractice.

3. Doctor-Patient Conflict
Disagreements between patients and healthcare providers regarding medical treatment, diagnosis, or care plans. These Conflicts arises due to inadequate healthcare facilities in the country and spiraling cost of the treatments and medicines etc.

4. Consent Issues
The primary goal of obtaining valid consent from patients before any medical intervention is to uphold the principle of patient autonomy. Patients have the right to make independent decisions about their medical care without any undue influence from their healthcare provider. Informed consent empowers patients to participate in crucial decision-making, provided they are willing and able to do so. The ethical soundness of consent is based on the quality of communication between the patient and physician, rather than just obtaining a signature. To be considered valid, patients must be adequately informed about the potential risks and benefits, which necessitates a two-way exchange of information in a clear and understandable manner.

In the case of Medical and Dental Practitioner's Disciplinary Tribunal v. Okonkwo, \(^6\) the supreme court underscored the significance of consent by declaring that the patient's consent reigns supreme. It is worth noting that this right to provide consent is not limited to Nigeria, as other legal jurisdictions have also enshrined it in law and jurisprudence.

5. Confidentiality breaches
Violations of patient confidentiality or privacy rights, such as unauthorized disclosure of medical information or breaches of doctor-patient confidentiality.

In the case of Mr. X V. Hospital Z \(^7\) the hospital took a blood sample from Mr. X with the intention of transfusing it to another individual. However, the sample revealed that Mr. X was HIV positive. Subsequently, Mr. X's marriage was annulled because the hospital disclosed this information to his family without his consent, which ultimately reached the family of his prospective spouse. The Court ruled that while the obligation to maintain confidentiality between doctors and patients is important, it can be breached in cases of public welfare. Therefore, the hospital was not held responsible for this breach. However, Mr. X was found guilty under Sections 269 and 270 of the Indian Criminal Code for knowingly entering into marriage despite being aware of his venereal illness.

\(^6\) (2001) 7 NWLR (Pt 711) 79
\(^7\) (1995) SC 495
Mediation

Mediation is one of the techniques in the Alternative Dispute Resolution and has emerged as the fastest growing redressal mechanism where the impartial and neutral third party assists the disputing parties in resolving their disputes. It is a structured and systematic process where mediator facilitates parties to arrive at mutually acceptable agreement. Here, mediator does not impose any solution as such.

Mediation should be considered when the parties have a relationship they want to preserve. It is effective when emotions may get in the way of a solution.

Various types of mediation techniques

The mediation process is a flexible and versatile approach to resolving disputes that can be tailored to fit the specific needs and preferences of the parties involved. When it comes to mediation, there are various factors that can influence the way the process unfolds. These include the mediator's particular style, the type of dispute being addressed, and the preferences of the parties involved. As a result, there are many different forms and variations that mediation can take. Each one may have its own unique features and benefits, and the choice of which approach to use will depend on the specific circumstances of the case.

i. Evaluative Mediation: Our goal is to assist parties in evaluating their case and guiding them towards a resolution. In an evaluative mediation process, if the parties agree, the mediator may express their opinion on what constitutes a just and equitable settlement.

ii. Facilitative Mediation: Mediators who do not evaluate a case or direct the parties to a particular settlement, but instead facilitate conversation, act as guardians of the process, not the content or outcome.

iii. Transformative Mediation: Here, conflict is perceived as a crisis in communication. Success is not measured by settlements but by the parties shifts towards (a) personal strength, (b) interpersonal responsiveness, (c) constructive interaction, (d) new understanding of themselves and their situations, (e) critically examining the possibilities, (f) feeling better about each other, and (g) making their own decisions, which can include settlement agreements or not.

iv. Narrative Mediation: This approach emphasizes the sociological/psychological nature of conflict saturated narratives, and values human creativity in acting and reacting to these narratives.

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10 ‘Mediation’ (Wikipedia, 2020), [https://en.m.wikipedia.org/wiki/Mediation](https://en.m.wikipedia.org/wiki/Mediation)

11 Ibid.

12 Ibid.

13 Ibid.
Mediation legal Framework

i. Introduction and Opening Statement
The introductory phase of mediation, also known as pre-mediation, typically involves a series of discussions between the neutral mediator, legal representatives, and, at times, the disputing parties themselves. These preliminary conversations serve to establish a foundation for the joint session and provide an opportunity for all involved to prepare for the mediation process. The opening session marks the first significant joint meeting between the mediator and the disputing parties. During this stage, the mediator outlines the process, their role as mediator, and any other important details of the proceedings.

ii. Joint session
The joint session is a meeting that is held between two or more parties who are trying to resolve a dispute or come to an agreement on a particular issue. The main objective of the joint session is to gather information from all parties involved, provide an opportunity for each party to hear the perspectives of the other parties, and to understand the perspectives, relationships, and feelings of everyone involved. By doing so, the parties can work towards finding a solution that is fair and satisfactory for all parties involved. It is an important step in the conflict resolution process that helps to foster communication, build trust, and ultimately reach a mutually beneficial outcome, in this stage both the parties put forth and explains their cases. The mediator shall identify the areas of agreement and disagreement between the parties and the issues to be resolved.14

iii. Separate session
A separate session is organized to gain a deeper understanding of the dispute, allowing for the parties to express their emotions and divulge any confidential information they may not feel comfortable sharing with one another. The mediator will thoroughly analyze the conflict and help the parties grasp the reality of the situation, ultimately guiding them towards a mutually agreed-upon resolution.

iv. Closing statement
Once the parties agree on the settlement terms, the mediator confirms them orally and reduces them to writing. All parties and their counsel sign the agreement, and the mediator may also sign, certifying the agreement was signed in their presence. The signed agreement is given to all parties, and the original is sent to the referral court. The mediator notifies the court of the agreed date of appearance, and thanks and congratulates all parties for reaching a settlement.

14 Supreme Court manual for mediation
Benefits of Mediation in healthcare system

i. Voluntary Process: In the healthcare system, where trust and collaboration between healthcare providers and patients are crucial, mediation’s voluntary nature fosters a sense of empowerment and ownership over the resolution process. Patients and healthcare providers are more likely to engage openly and constructively in resolving conflicts when they feel they have control and agency over the process.

ii. Preservation of Relationships: Healthcare is inherently relational, and conflicts within this system can strain relationships between patients, healthcare providers, and families. Mediation offers a platform for rebuilding trust, fostering understanding, and promoting collaboration, thereby preserving and strengthening these vital relationships. This, in turn, facilitates continued care and support for patients, ensuring they receive the holistic care they need.

iii. Timely Resolution: In healthcare, timely resolution of conflicts is critical for ensuring the delivery of prompt and effective care. Unlike traditional legal processes, which can be protracted and resource-intensive, mediation offers a streamlined and efficient means of resolving conflicts. By reducing delays and expediting resolution, mediation minimizes disruptions to patient care and facilitates timely interventions, ultimately improving healthcare outcomes.

iv. Patient-Centered Care: Central to the ethos of modern healthcare is the principle of patient-centered care, which emphasizes the importance of incorporating patients’ preferences, values, and priorities into their care plans. Mediation empowers patients to actively participate in decision-making regarding their healthcare, ensuring that their voices are heard and respected. By facilitating collaborative decision-making between patients and healthcare providers, mediation promotes greater patient autonomy, satisfaction, and trust in the healthcare system.

v. Confidentiality: In the sensitive and personal realm of healthcare, confidentiality is paramount. Mediation offers a confidential and private platform for engaging in discussions pertaining to sensitive medical concerns. This forum enables parties to express their apprehensions openly and candidly, without any fear of judgment or retribution. The non-adversarial setting of mediation helps foster an environment of trust and respect, allowing the involved parties to arrive at mutually acceptable solutions that are tailored to their unique needs and circumstances. This confidentiality safeguards patients' privacy rights and fosters an environment of trust and openness, essential for effective communication and resolution of conflicts.

vi. Cost-Effectiveness: Healthcare systems are often under pressure to optimize resource allocation and contain costs while maintaining quality of care. Mediation offers a cost-effective alternative to litigation or formal arbitration, as it requires fewer resources and can be completed more quickly. By reducing legal fees, administrative expenses, and the burden on judicial resources, mediation frees up resources that can be reinvested into patient care and healthcare infrastructure.
vii. Customized Solutions: Healthcare conflicts are multifaceted and nuanced, often requiring tailored solutions that address the unique needs and interests of the parties involved. Mediation allows parties to craft creative and customized solutions that go beyond one-size-fits-all legal judgments. By encouraging collaborative problem-solving and flexibility, mediation ensures that solutions are responsive to the specific circumstances and preferences of patients, healthcare providers, and other stakeholders.

viii. Reduced Stress and Emotional Burden: Dealing with private medical issues can take a toll on the emotional well-being of patients, healthcare providers, and families. Mediation presents an amicable and compassionate setting to tackle the intricacies of contentious issues, facilitating parties to articulate their sentiments, apprehensions, and anxieties candidly and without prejudice. By alleviating stress, anxiety, and emotional burdens associated with conflicts, mediation promotes healing, resilience, and overall well-being within the healthcare system.

Overall, the benefits of mediation in resolving private medical issues extend beyond mere conflict resolution. By promoting collaboration, communication, and patient-centered care, mediation contributes to the enhancement of relationships, the improvement of healthcare outcomes, and the overall well-being of individuals involved in healthcare conflicts.

Obstacles and misconceptions about the Mediation

The main Obstacle in mediation is confidentiality concerns; healthcare conflicts often involve sensitive medical information, raising concerns about confidentiality and privacy during mediation. Ensuring that mediation sessions are conducted confidentially and securely while still allowing for transparency and accountability can be challenging.

Complexity of medical issues: Healthcare conflicts may involve complex medical and legal issues that require specialized knowledge and expertise to resolve. Mediators with a background in healthcare or experience in mediating healthcare disputes may be necessary to address these complexities effectively.

Some other conceptions related to mediation are: There are a number of concerns related to the use of mediation in resolving disputes. These include the possibility that incompetent providers may go unnoticed, patients may not receive adequate compensation, and power imbalances among parties may not be properly addressed. Additionally, there is a risk that

Mediation may fail to establish necessary precedent. Many of these concerns stem from the informal and private nature of the mediation process.

In other healthcare disputes, such as claim denials, privacy can also have negative consequences for the public. This is because misbehavior may go unnoticed by the public. The privacy that providers find so appealing in alternative dispute resolution can be a cause for concern for consumers.
Mediation V. Judicial Process

The judicial process has been a traditional method for resolving disputes and has been in practice for a long time. However, Mediation has emerged as a fast-growing alternative dispute resolution mechanism. In the traditional judicial process, a judge decides the outcome of the dispute, but there is always a risk of various kinds of biases, such as personal, notional, and pecuniary biases. On the other hand, Mediation is a process where an impartial and neutral third party decides the outcome. The judicial process is often very lengthy and involves various procedures and technicalities, which can make it very expensive and unsuitable for medical disputes. In contrast, Mediation is a simple process that does not require much in terms of procedures or technicalities, and it is also cost-effective. In the judicial process, there is a chance that only one party will win, which could damage the relationship between both parties. In contrast, Mediation ensures the preservation of the relationship between both parties as it facilitates a win-win situation for both parties. The decision made in the judicial process is binding, but in Mediation, the decision is binding only if both parties arrive at a mutually acceptable agreement. The judicial process is adversarial in nature, and the personal appearance of the parties is not required. On the other hand, Mediation is collaborative in nature, and the personal appearance of the parties is mandatory. In the judicial process, the parties do not have the opportunity to talk directly. However, Mediation facilitates direct communication between the parties, which is essential to resolve miscommunications and conflicts easily. The judicial process and Mediation are two different dispute resolution mechanisms that have different features, advantages, and disadvantages. The judicial process is a traditional method that has been used for a long time, and it involves a judge who decides the outcome of the dispute. On the other hand, Mediation is a method of dispute resolution using an impartial third-party to facilitate communication and decision-making. One of the main advantages of Mediation is that it is a cost-effective and simple process that does not require much in terms of procedures or technicalities. This makes it a suitable option for resolving medical disputes, where the parties may not have the resources or time to go through a lengthy and expensive judicial process.

Another advantage of Mediation is that it ensures the preservation of the relationship between both parties. In the judicial process, there is a risk that only one party will win, which could damage the relationship between both parties. However, in Mediation, the third party facilitates a win-win situation for both parties, which ensures that the relationship between both parties is preserved. In the judicial process, the decision made by the judge is binding, and there is no opportunity for the parties to talk directly. In contrast, Mediation is a collaborative process that facilitates direct communication between the parties. This is essential to resolve miscommunications and conflicts easily, as the parties can express their concerns, needs, and expectations directly to each other.

In conclusion, both the judicial process and Mediation have their advantages and disadvantages. However, Mediation is a fast-growing alternative dispute resolution method that is simple, cost-effective, and ensures the preservation of the relationship between both parties. Mediation is a collaborative process that facilitates direct communication between the parties, which is essential for resolving miscommunications and conflicts easily.
Mediation in other Countries

Medical mediation has been found to exhibit remarkably high satisfaction rates in various other jurisdictions as well. This observation is indicative of the efficacy of the medical mediation process in resolving disputes in a manner that is both fair and satisfactory to all parties involved. In the United States, for example, about ninety percent of parties who employ mediation in medical disputes are satisfied with the outcome.15 Physicians apologies are misused in many situations, and the use such apologies later against them to prove that the physician accepted the negligence. thirty-five states in the United States have passed some form of legislation or the other which allows physicians to offer confidential and inadmissible apologies.16

Medical mediation is becoming increasingly common in South Africa, with around 25% of all trained mediators in the country specializing in this area. While the South African Constitution grants citizens the right to pursue legal action for any conflict, there are plans underway to potentially require mandatory medical mediation prior to litigation, pending the implementation of new legislation. By the end of 2018, the country’s Department of Justice and Constitutional Development’s Rule Board invited stakeholders to comment on a draft proposed rule 41A on mediation.17

Located in Ireland, specifically the United Kingdom, is The Mediation Act of 2017. This act, which was enforced in January 2018, mandates that solicitors representing claimants must furnish their clients with particulars regarding mediation services and the advantages of utilizing mediation. The Act does not oblige a party to use mediation, as any such coercion would be at odds with the spirit of this resolution mechanism.18 This is brief overview of the medical mediation in other countries.

Conclusion

In conclusion, the landscape of healthcare in India is riddled with complexities and challenges, ranging from the sheer diversity of its population to the burden on its traditional legal system. Within this intricate framework, conflicts within the healthcare system are not only common but also inevitable, often manifesting in deeply personal and private medical issues. The traditional avenues for resolving these conflicts are burdened with lengthy procedures, technicalities, and a backlog of cases, making them ill-equipped to address the nuanced interpersonal dynamics and confidentiality concerns inherent in private medical matters.

Amidst these challenges, mediation emerges as a promising alternative for resolving private medical issues in healthcare settings. Drawing upon the principles of Alternative Dispute Resolution, mediation offers a structured and collaborative approach to conflict resolution, prioritizing open communication, mutual understanding, and preservation of relationships. By providing a confidential and supportive environment

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16 Ibid.
18 ‘Using mediation as a dispute resolution tool in healthcare disputes in Ireland’, Joanne O’Sullivan and Orla Veale Martin (Kennedy’s Law, 2018)
for dialogue, mediation empowers parties to actively participate in decision-making, ultimately fostering patient-centered care and improving healthcare outcomes. Through this research, we have explored the potential of mediation techniques as a viable solution for mitigating private medical issues. We have discussed various types of private medical issues, such as medical negligence, doctor-patient conflicts, and confidentiality breaches, highlighting the complex challenges they present within the healthcare system. Additionally, we have examined the different mediation techniques, including evaluative, facilitative, transformative, and narrative mediation, illustrating their applicability to resolving healthcare conflicts.

Moreover, we have outlined the benefits of mediation in healthcare settings, including its ability to preserve relationships, expedite resolution, and reduce costs. Despite these benefits, challenges such as confidentiality concerns, power imbalances, and cultural differences may hinder the implementation of mediation programs in healthcare settings. However, by addressing these challenges through policy implementation, awareness campaigns, capacity building, and stakeholder collaboration, Indian policymakers and stakeholders can create an enabling environment for the widespread adoption of mediation in healthcare.

In essence, by embracing mediation as a tool for resolving private medical issues, India can strive towards a more efficient, equitable, and patient-centric healthcare system. By prioritizing communication, collaboration, and patient empowerment, mediation has the potential to transform the way conflicts are resolved in healthcare settings, ultimately enhancing the quality of care and the well-being of patients, healthcare providers, and society as a whole.

Suggestions

1. Standardization of Mediation Procedures: Standardizing mediation procedures for healthcare disputes involves developing uniform rules and protocols. This includes guidelines for selecting qualified mediators, defining the mediation process, and establishing timelines for resolution. The goal is to make dispute resolution more accessible, less time-consuming, and more cost-effective, while ensuring outcomes are fair and just.

2. Mediator Training and Certification: Provide specialized training and certification for mediators handling healthcare disputes. This training should cover relevant healthcare laws, ethics, communication skills, and cultural sensitivity. And, ensure that mediators are trained to understand and respect the cultural norms and values of the parties involved, as these can influence the mediation process and outcomes significantly.

3. Integration of Technology: The integration of technology into the mediation process involves adopting digital platforms and tools to facilitate more efficient and accessible dispute resolution. Utilizing technology platforms, such as Online Dispute Resolution (ODR) platforms or teleconferencing tools, can significantly streamline the mediation process. This approach helps in overcoming geographical barriers, allowing parties from different locations to participate in mediation without the need for physical travel. It ensures that individuals or entities can resolve their
disputes promptly, which is particularly beneficial in today's fast-paced world, where time is often of the essence.

Moreover, technology integration in mediation can lead to cost savings for all involved parties. It reduces the logistical expenses associated with in-person meetings, such as venue hire, travel costs, and accommodations. Additionally, the use of digital tools can improve the documentation and record-keeping aspects of mediation. Online platforms can offer secure ways to exchange documents, track the progress of the mediation, and store records of the proceedings, which enhances transparency and trust in the process.