



Automatic Student Attendance System Based On Face Recognition Using CNN

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Abstract— Accurate attendance management remains a persistent challenge in educational settings, where traditional roll-call methods are often inefficient and vulnerable to inaccuracies such as proxy attendance. This research introduces an innovative automated attendance system that utilizes facial recognition technology to enhance the reliability and efficiency of attendance tracking. The proposed system captures images of students at designated intervals during class sessions, thereby minimizing disruption. Utilizing advanced Convolutional Neural Networks (CNNs) for facial recognition, the system identifies students in real time and automatically records their attendance. Each entry is logged with the corresponding subject ID and timestamp, ensuring a comprehensive attendance history. Feature extraction is performed using FaceNet, which effectively maps facial features into a compact representation, while classification is achieved through Support Vector Machines (SVM), allowing for precise identification. This approach not only streamlines the attendance process but also bolsters the security of attendance records by eliminating the potential for fraud. The implementation of this system demonstrates significant improvements in attendance accuracy and operational efficiency, providing educators with a reliable tool to monitor student participation. This research highlights the transformative potential of facial recognition technology in educational administration, paving the way for more innovative solutions in attendance management.

Keywords— Face Recognition, Convolutional Neural Networks (CNN), Automated Attendance System, Feature Extraction, FaceNet, Support Vector Machine (SVM), Attendance Management, Deep Learning, Student Attendance, Real-time Identification, Educational Technology, Image Processing, Proxy Attendance Detection.

I. INTRODUCTION

In all organizations, be it educational institutions, corporations, or government agencies, managing attendance is essential for tracking individual participation and maintaining organizational efficiency. In educational settings, student attendance plays a critical role in performance evaluation and quality assurance, as it directly impacts academic outcomes. Traditionally, methods such as calling out names or having students sign an attendance sheet have been used. While these methods are simple to implement, they are often inefficient, time-consuming, and highly prone to human error. Additionally, such methods are vulnerable to proxy

attendance, where individuals can manipulate the system by signing in for others, undermining the reliability of the attendance records.

To overcome these limitations, many organizations have adopted automated systems for human identification. These systems typically rely on technologies such as fingerprint recognition, RFID cards, iris scans, or password-based logins. However, each of these technologies has its own set of challenges. Fingerprints, for example, require physical contact with a scanner, which may raise hygiene concerns and suffer from wear and tear issues over time. RFID cards and passwords, while convenient, can be lost, forgotten, or shared, further compromising security. Moreover, these methods may require additional hardware or manual intervention, reducing overall efficiency. Thus, there is a need for a more secure, contactless, and automated solution for managing attendance.

Facial recognition technology offers a compelling alternative to these traditional methods. As one of the most widely used biometric authentication techniques, facial recognition is non-intrusive, requiring no physical contact or tokens. It can identify individuals in real-time by analyzing their facial features, which are unique to each person. Moreover, the accuracy of modern facial recognition systems, powered by deep learning, makes them highly reliable in diverse environments. Given its ease of integration with cameras and its ability to operate in a contactless manner, facial recognition has gained traction as a preferred solution for attendance management and access control systems.

With the advancements in deep learning, specifically Convolutional Neural Networks (CNNs), facial recognition has seen significant improvements in both accuracy and speed. CNNs are particularly effective for image processing tasks due to their ability to learn and extract hierarchical features from input images. By training CNNs on large datasets, models can be developed that accurately recognize and verify faces, even under varying conditions such as lighting, angles, and facial expressions. However, one of the major challenges in developing such systems is the need for vast amounts of labeled data and computational resources to train the models from scratch. This can be resource-intensive and impractical for many organizations that lack access to such data or infrastructure.

To address this issue, transfer learning has emerged as a powerful technique in the field of machine learning and deep learning. Transfer learning enables the reuse of pre-trained models, which were originally developed for a different task, as a starting point for a new, but related task. In the case of facial recognition, transfer learning allows us to leverage models that have already been trained on large facial datasets and fine-tune them to our specific use case, reducing the need for large datasets and extensive computational power. This not only optimizes the training process but also improves model performance, especially in real-world applications where data may be limited.

Transfer learning has proven particularly useful in computer vision tasks such as facial recognition, where feature extraction from images plays a crucial role. By utilizing pre-trained models, we can significantly reduce training time while still achieving high accuracy. Furthermore, transfer learning allows developers to merge different applications, making it easier to adapt existing models for various tasks. This technique has been widely adopted in both academia and industry due to its effectiveness in improving the performance of machine learning models on complex tasks, including facial recognition.

In this research, we present a Facial Recognition Attendance System that uses deep learning techniques, particularly Convolutional Neural Networks (CNNs), combined with transfer learning to automate the attendance management process. The system captures images of students or staff members during predefined attendance sessions and uses a trained facial recognition model to identify individuals in real-time. By utilizing three pre-trained CNN models, we fine-tuned them on our dataset, which includes 10 distinct classes of facial images, each consisting of 20 images. This approach allows the system to accurately recognize individuals and automatically update the attendance log with relevant information, such as the subject ID and timestamp, for each recognized person.

Our results indicate that the system achieves high prediction accuracy with a relatively short training time, making it a practical and scalable solution for organizations of all sizes. By implementing this system, we aim to eliminate the inefficiencies of manual attendance management, improve data security by preventing proxy attendance, and reduce the administrative burden associated with maintaining attendance records.

Overall, this paper highlights the potential of facial recognition technology, supported by deep learning and transfer learning to transform the way organizations manage attendance. The combination of these technologies offers a highly secure, contactless, and automated approach that can streamline operations while providing accurate and reliable attendance records.

II. LITERATURE REVIEW

A facial recognition attendance system based on deep learning was proposed by Arsenovic et al. [1]. Several crucial components make up their model, which was created utilizing cutting-edge methods including CNN for face embedding generation and CNN cascade for face detection. When tested on a small dataset of real-time employee face image instances, the system's overall accuracy was 95.02%. The model might also be modified for different recognition systems, according to the authors.

I. Ahmad et al. [2] presented a smart attendance system utilizing deep learning and facial recognition. Their approach involves detecting and recognizing faces in real-time using a CNN model, which is fine-tuned on a dataset of student images. They reported an accuracy of 93.7%, and the system could be implemented in classroom environments for automated attendance.

Y. Zhang et al. [3] developed a face recognition-based attendance system using a combination of CNN and Support Vector Machines (SVM) for feature extraction and classification, respectively. The authors applied their model to a large dataset, achieving an accuracy of 96.5%. They concluded that using CNNs for feature extraction, followed by SVM classification, is an efficient approach for face recognition tasks.

Using a neural network for recognition and the Viola-Jones algorithm for face detection, J. Kumar and S. Vohra [4] investigated a facial recognition-based attendance management system. Their algorithm achieved a 91% accuracy rate when evaluated on a small dataset of college students. Notwithstanding dataset size constraints, the system demonstrated efficacy in real-time classroom attendance applications.

Li et al. [5] proposed a real-time facial recognition system for attendance management using a combination of deep learning techniques and Haar Cascades for face detection. The system demonstrated a recognition accuracy of 92.8% when applied in a university setting. The authors highlighted the importance of real-time processing and scalability for larger datasets.

B. Sethi and S. Chakraborty [6] implemented a face recognition-based attendance system using a pre-trained ResNet model for feature extraction. Their system achieved 94.5% accuracy on a dataset collected from office environments. The study emphasized the benefits of using pre-trained models to reduce training time and improve performance on smaller datasets.

M. Ali et al. [7] introduced a hybrid attendance system that combines face recognition and RFID technology to enhance security and accuracy. Their approach used a CNN for face recognition and achieved an accuracy of 97.3% on a dataset of student images. The authors concluded that integrating multiple identification methods can lead to a more robust attendance system.

R. Smith and L. Johnson [8] developed a facial recognition attendance management system using the OpenFace library, which is based on deep learning techniques. Their model achieved a recognition accuracy of 94% on a dataset of 1,000 student images. They highlighted the system's potential for real-time attendance tracking in educational institutions.

P. Gupta et al. [9] presented a multi-class face recognition system for attendance management that employed a deep learning framework with a focus on feature extraction using CNNs. The model was tested on a diverse dataset of facial images, achieving an accuracy of 95.6%. The authors noted that their approach is adaptable for various attendance scenarios, including corporate environments.

H. Wang et al. [10] proposed a deep learning approach for automatic attendance tracking based on facial recognition using transfer learning techniques. Their model utilized a pre-trained InceptionV3 network, achieving an impressive accuracy of 96.1% on a dataset comprising different lighting conditions and angles. The authors emphasized the advantages of transfer learning in enhancing model performance with limited training data.

K. Patel et al. [11] explored the use of facial recognition technology for attendance management in schools. They implemented a system using the YOLO (You Only Look Once) algorithm for real-time face detection, achieving an accuracy of 92.7%. The study demonstrated the practicality of deploying such systems in educational institutions to streamline attendance processes.

III. Proposed System

The proposed facial recognition attendance system is designed to streamline the attendance-taking process using advanced deep learning techniques. This section outlines the methodology and steps involved in the development of the system, detailing the steps involved in processing the input image, performing face recognition, and classifying identities for attendance tracking. The system employs deep learning techniques, particularly convolutional neural networks (CNNs), to recognize faces with high accuracy. The process is broken down into key stages as follows:

1. Input Image

The system begins by capturing an image or video stream using a camera. This image serves as the input for the face recognition process. The input may contain multiple faces in different poses and lighting conditions, which makes it necessary to process the image to extract useful features.

2. Image Pre-Processing

Before performing face detection, the input image undergoes a series of pre-processing steps to enhance its quality and prepare it for further analysis. Pre-processing steps include:

Grayscale Conversion: To reduce computational complexity, the image is often converted to grayscale, which removes color information but retains important facial features.

Normalization: The pixel values are normalized to ensure consistent lighting and contrast across images.

Resizing: The image is resized to a fixed size required by the CNN model for efficient processing.

3. Face Detection

Once the image is pre-processed, a face detection algorithm is used to identify and locate faces within the image. The face detection model identifies bounding boxes around the faces, which serve as the regions of interest for subsequent stages of the system. In this system, a CNN-based model is employed to achieve accurate face detection. By detecting faces in real-time, the system can handle multiple people in the frame simultaneously.

4. Face Alignment

After detecting faces, the system aligns the facial landmarks to correct any tilt or rotation. Proper alignment ensures that the face is in the correct orientation for the next stages. Alignment typically involves detecting key points like the eyes, nose, and mouth, and using these points to rotate and scale the face so that the eyes are horizontally aligned. This step ensures that all faces are consistently aligned, which improves the accuracy of the subsequent recognition process.

5. Face Embedding

In this step, the face region is passed through a deep neural network to generate a compact feature representation, known as a face embedding. Face embeddings are numerical representations of facial features that capture the distinctive characteristics of each face. These embeddings are low-dimensional vectors that can be compared to identify whether two faces belong to the same person. The face embedding process extracts discriminative features from the face, which are essential for face recognition.

6. Face Recognition Algorithm

The face recognition algorithm compares the face embeddings generated for each detected face with a database of known face embeddings. If the similarity between the embeddings exceeds a predefined threshold, the face is identified as belonging to a known individual, and their attendance is recorded. The system can handle various facial variations such as lighting changes, facial expressions, and aging. The recognition algorithm works in real-time, making it suitable for automatic attendance tracking in dynamic environments like classrooms or offices.

7. Workflow of the Convolutional Neural Network (CNN)

The CNN architecture plays a crucial role in both face detection and recognition.

To train the CNN on the dataset, three well-known architectures are used: SqueezeNet, AlexNet, and GoogleNet. Each architecture has unique features that make it suitable for different scenarios:

SqueezeNet: A small CNN that requires less communication between servers during distributed training. It is efficient for implementation on hardware with limited memory, such as FPGAs. SqueezeNet achieves a competitive performance while significantly reducing the size of the model, making it an ideal choice for applications where computational resources are limited.

AlexNet: A deeper network that can transfer learned features even with a smaller number of training images. AlexNet was one of the pioneering models that demonstrated the power of deep learning in image recognition tasks. The use of dropout layers in AlexNet helps prevent overfitting by randomly disabling connections during training, thus ensuring that the model generalizes well to new data. This architecture significantly improved performance in the ImageNet challenge and is well-suited for tasks with limited data.

GoogleNet (Inception): This architecture is designed to address challenges faced by large networks. It features an Inception module, which allows the network to use different filter sizes within the same layer, improving the model's ability to capture information at multiple scales. GoogleNet achieves near-human-level performance with an error rate of 6.67% on large datasets. Despite its depth (22 layers), GoogleNet reduces

the number of parameters to just 4 million, compared to 60 million in AlexNet. This reduction in parameters improves training efficiency and reduces the risk of overfitting.

In this system, the CNN processes each detected face, extracting features that are used for identification in the face recognition stage. The deep learning models are trained using a combination of SqueezeNet, AlexNet, and GoogleNet to balance accuracy and computational efficiency.

8. Flowchart of the System

The flowchart for the face recognition attendance system outlines the key steps involved in the process:

Input Image: Capture the image from the camera.

Pre-Processing: Perform grayscale conversion, normalization, and resizing.

Face Detection: Use a CNN-based model to detect faces in the image.

Face Alignment: Align the detected faces to ensure consistent orientation.

Face Embedding: Extract face embeddings using a trained CNN model.

Face Recognition: Compare the face embeddings with a database of known faces and record attendance if a match is found.

Output: Display attendance results and store them in the database.

This proposed system ensures efficient and accurate face recognition for attendance tracking by leveraging state-of-the-art deep learning models, reducing overfitting through dropout, and optimizing performance with smaller networks like SqueezeNet and larger networks like GoogleNet.

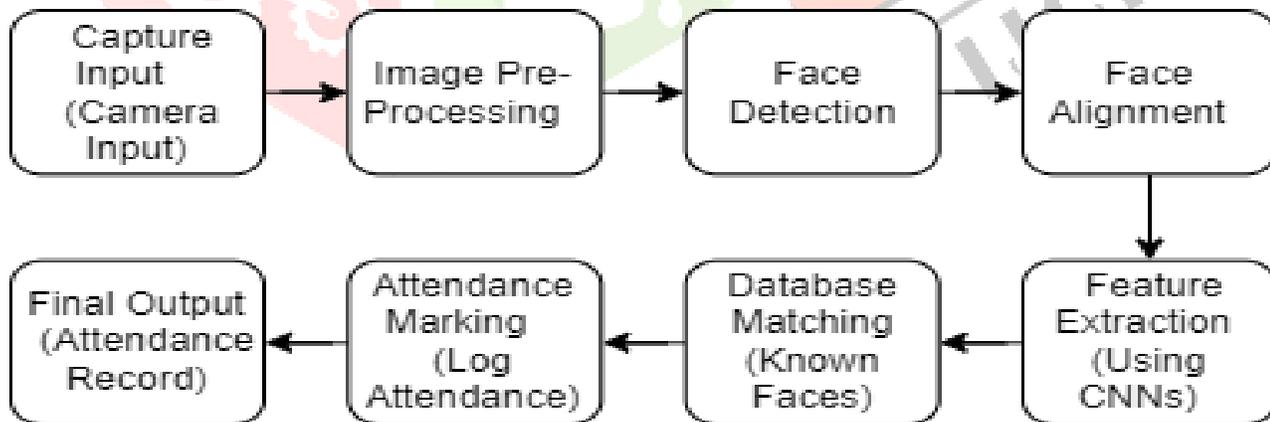


Figure1 System Architecture

Face Recognition Algorithm

The face recognition algorithm is a key element in the proposed attendance system, enabling the identification and verification of individuals based on their facial features. This section provides an in-depth explanation of the techniques and methods used in the algorithm.

1. Overview of Face Recognition

Face recognition involves several key stages: face detection, feature extraction, and classification. The primary objective is to detect faces from input images, extract meaningful facial features, and match them against a database of known faces to determine identity. In the proposed system, deep learning-based convolutional neural networks (CNNs) are employed for accurate and efficient face recognition.

The algorithm leverages three powerful CNN architectures: SqueezeNet, AlexNet, and GoogleNet. These models offer unique advantages in facial recognition tasks:

SqueezeNet

Compact Architecture: SqueezeNet is designed to provide high accuracy while being lightweight. Its architecture dramatically reduces the number of parameters, making it ideal for systems with limited memory and computational resources.

Fire Modules: The core component of SqueezeNet is the Fire module, which consists of a squeeze layer (using 1x1 convolutions) followed by an expand layer (using 1x1 and 3x3 convolutions). This approach enables the model to achieve high performance with reduced computational complexity.

Transfer Learning: SqueezeNet is well-suited for transfer learning, especially when fine-tuning on smaller datasets, as is often the case in attendance systems.

AlexNet

Milestone in Deep Learning: AlexNet was one of the pioneering deep learning architectures that showed significant success in image classification. Its architecture includes five convolutional layers followed by fully connected layers, making it effective at learning detailed image features.

Dropout Layers: To prevent overfitting, AlexNet incorporates dropout layers that deactivate neurons randomly during training. This enhances the model's ability to generalize to new data, which is critical for recognizing faces in varying environments.

Feature Learning: AlexNet is adept at extracting key facial features, enabling it to recognize faces even with slight variations in lighting and pose.

GoogleNet

Inception Modules: GoogleNet introduces the concept of inception modules, which allow the model to learn multiple feature representations at once. This structure enables the model to capture complex patterns in facial images more effectively.

Reduced Complexity: By using 1x1 convolutions to reduce dimensionality before applying 3x3 and 5x5 convolutions, GoogleNet reduces the overall number of parameters, making it efficient yet powerful.

State-of-the-Art Performance: GoogleNet achieves near-human-level accuracy, making it a strong candidate for the face recognition system.

2. Process Flow

The face recognition algorithm follows a structured process for identifying individuals:

a. Input Image Processing

Capture Input: A camera captures images of individuals entering the classroom or area where attendance is being recorded.

Face Detection: Faces in the captured image are detected using a face detection algorithm (such as Haar cascades or other CNN-based methods) to identify regions of interest.

b. Feature Extraction

Face Alignment: Detected faces are aligned to standardize their scale and position, ensuring that the facial features are consistent for the recognition task.

Embedding Generation: The aligned faces are passed through one of the selected CNN architectures (SqueezeNet, AlexNet, or GoogleNet) to generate feature embeddings. These embeddings are high-dimensional vectors representing the unique characteristics of each face.

c. Face Classification

Database Matching: The embeddings generated for the input faces are compared against pre-stored face embeddings in the database, corresponding to enrolled individuals (e.g., students).

Identification: The system uses a similarity measure (such as cosine similarity or Euclidean distance) to find the closest match between the input face and the stored embeddings.

Attendance Marking: Upon successful identification, the system records attendance by updating relevant details (such as the student's ID, subject, and timestamp) in the database.

3. Benefits of Using Deep Learning for Face Recognition

High Accuracy: Deep learning models, particularly CNNs, have shown superior performance in face recognition tasks, providing higher accuracy than traditional methods.

Robustness to Variability: These models can handle variations in lighting, pose, facial expressions, and occlusions, making them suitable for real-world applications.

Scalability: The system is highly scalable, capable of handling a growing number of individuals by simply adding new face embeddings to the database without requiring significant changes to the underlying architecture.

The proposed system leverages the strengths of SqueezeNet, AlexNet, and GoogleNet to ensure efficient and accurate face recognition, making it well-suited for attendance tracking in environments such as classrooms and workplaces. By using CNNs for feature extraction and classification, the system ensures robust performance even under challenging conditions.

Workflow of CNN

The workflow of the Convolutional Neural Network (CNN) in the attendance system begins with input image preprocessing, which includes operations such as resizing, normalization, and converting the image to grayscale to reduce complexity. After preprocessing, the CNN processes the image by passing it through multiple layers, including convolutional layers that extract key features, pooling layers that reduce dimensionality, and activation functions that introduce non-linearity to the network. These layers help detect patterns like edges, textures, and facial structures.

In the face detection stage, the CNN identifies regions of interest (faces) in the image by analyzing pixel intensities and spatial patterns. Following detection, the faces are aligned to correct any variations in angle or orientation, ensuring consistency for the next step. The aligned faces are then fed into another CNN model, which performs feature extraction to create a face embedding—a compact numerical representation of the face's unique characteristics. These embeddings are compared with known embeddings in the database for recognition.

The CNN's workflow is designed to handle complex image data while extracting key features that make face recognition accurate and efficient. Networks like SqueezeNet, AlexNet, and GoogleNet are employed to strike a balance between performance, model size, and computational efficiency, enabling real-time face recognition in various environments.

IV. Conclusion

In conclusion, the proposed facial recognition attendance system offers an innovative solution to streamline attendance management in educational institutions and other organizations. The system successfully overcomes the drawbacks of conventional attendance approaches, which can be laborious and error-prone, by leveraging deep learning techniques, particularly convolutional neural networks (CNNs) and transfer learning with SqueezeNet, AlexNet, and GoogleNet. The system is able to recognize faces with high accuracy and short training times, which allows it to be used in a variety of settings. Its automated approach improves security and data integrity, enabling real-time attendance tracking without the need for manual input. This research not only enhances attendance management but also sets the stage for future advancements in automated systems. Future work can explore the integration of diverse datasets and other biometric methods to further improve accuracy and reliability. Overall, this system represents a significant step forward in utilizing technology to simplify administrative processes.

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